



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Kan-Yin Ng et al.
Serial No. 09/970,404
Filed October 3, 2001
Confirmation No. 9398
For APPARATUS AND PROCESS FOR PRODUCING
POLISHED SEMICONDUCTOR WAFERS

Art Unit 2812

December 7, 2001

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted," dated November 5, 2001, enclosed is applicants signed Declaration and Power of Attorney in the above-referenced application. Also enclosed are 9 pages of substitute formal drawings. A copy of the Notice is returned herewith.

Enclosed is a check for \$130.00 in payment of the surcharge.

Respectfully submitted,

A handwritten signature in cursive ink, appearing to read "Kurt F. James".

Kurt F. James, Rég. No. 33,716
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

EJH/dep

Express Mail Label No. EL 890 666 701 US
Box Missing Parts

12-10-01

Sector/A

FEE TRANSMITTAL

Application Number 09/970,404
 Filing Date October 3, 2001
 Confirmation No. 9398
 Inventor(s) Kan-Yin Ng et al.
 Group Art Unit 2812
 Attorney Docket Number MEMC 2987 (DATE 50)

**METHOD OF PAYMENT**

- [] The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- [] The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- [] Applicant claims small entity status.
2. [X] Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. [] BASIC FILING FEE Subtotal (1) \$ _____
 (Type: _____)

2. [] EXTRA CLAIM FEES Subtotal (2) \$ _____

Total Claims _____
 Independent Claims _____
 Multiple Dependent Claims _____

3. [X] ADDITIONAL FEES Subtotal (3) \$ 130.00

- [X] Surcharge - late filing fee or oath
 [] Surcharge - late provisional filing fee or cover sheet
 [] Extension for reply within _____ month
 [] Notice of Appeal
 [] Filing a Brief in Support of an appeal
 [] Request for ex parte Reexamination
 [] Petitions to the Commissioner
 [] Submission of Information Disclosure Statement
 [] Recording each patent assignment per property
 [] Request for Continued Examination
 [] Other: _____

TOTAL AMOUNT OF PAYMENT \$130.00

A handwritten signature in black ink that reads "Derick E. Allen".

12-7-01

Derick E. Allen, Reg. No. 43,468

Date

DEA/dep

Express Mail Label No. EL 890 666 701 US



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/970,404	10/03/2001	Kan-Yin Ng	MEMC 2987

000321
SENNIGER POWERS LEAVITT AND ROEDEL
ONE METROPOLITAN SQUARE
16TH FLOOR
ST LOUIS, MO 63102



CONFIRMATION NO. 9398

FORMALITIES LETTER



OC000000007023299

Date Mailed: 11/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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130.00 IP

A copy of this notice MUST be returned with the reply.

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE